

## STATUTORY DISCLOSURE

Name:	IFC Markets SA (Pty) Ltd
Address:	Norwich Place West 2nd floor, CNR 5th and Norwich, Sandown Sandton, Gauteng, 2031, Republic of South Africa
Website:	ifcmarkets.co.za
License number:	51818
Email:	info@ifcmarkets.co.za

### 1. Company's Legal Status

IFC Markets SA (Pty) Ltd (the "FSP") is a Private Company registered in the Republic of South Africa under the registration number 2021/682339/07. As a licensed Financial Services Provider in terms of the FAIS, the FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined by the Financial Advisory and Intermediary Services Act, 37 of 2002 ("FAIS Act") as amended or may be amended from time to time. Its representatives either meet the fit and proper requirements as prescribed by the FAIS Act or operate under appropriate supervisory procedures in accordance with the FAIS Act and are qualified to assist you in a professional manner. The FSP acts as an intermediary in term of the FAIS Act, rendering only an intermediary service in relation to derivative products (CFDs) offered by IFCMARKETS.CORP. (i.e., the product supplier (issuer and seller)).

### 2. Key Individuals

Name:	Authorised Classes of Business
Ingrid Farmer	<ul style="list-style-type: none"><li>• Short-Term and Long-Term Deposits – Category 1</li><li>• Investments – Category 1</li></ul>

The FSP confirms that its Key Individual(s) and Representative(s) are mandated and entitled to render only intermediary services to you in terms of the FAIS Act.

### 3. Complaint Handling and Compliance Queries

Please direct all complaints and compliance-related matters to our Compliance Department at [compliance@ifcmarkets.co.za](mailto:compliance@ifcmarkets.co.za).

The FSP welcomes any queries or constructive feedback regarding any dissatisfaction you may have. We will gladly assist and aim to resolve it to your satisfaction.

Should a complaint not be adequately resolved, or we confirm that we are unable to resolve it, clients may lodge an official complaint with the FAIS Ombud via the following channels:

FAIS Ombud Details	
Physical Address:	Menlyn Central Office Building, 125 Dallas Avenue, Waterkloof Glen, Pretoria 0010
Postal Address:	P O Box 41, Menlyn Park, 0063
Telephone:	012 762 5000 / 086 066 3247
Email Address:	info@faisombud.co.za

Please note that if you wish to submit a complaint to the FAIS Ombud against the FSP or our representative(s), you will need to show that you have already attempted to resolve the matter directly with the FSP first.

### 4. Other Important Matters

- a) In terms of the Financial Intelligence Centre Act, 2001, the FSP is obliged to report any suspicious and unusual transactions that may facilitate money laundering, terrorism, and/or proliferation financing.
- b) It is important that you are absolutely sure that the product of the product supplier and transactions meet your needs and that you feel you have all the information you need before making a decision.
- c) The FSP acts solely as an intermediary in terms of the FAIS Act and Section 15 of the FAIS General Code of Conduct, rendering only an intermediary service in relation to derivative products (CFDs) offered by IFCMARKETS.CORP. The FSP does NOT make any recommendations, it does not provide guidance, nor does it make any proposals related to financial products to users. The FSP does not perform any analyses of users' financial objectives nor investment risk profiles. Users must ensure that they are comfortable with the trading decisions they take and must contact their FAIS approved financial advisor should they require any financial advice.
- d) The FSP does not conduct any market making nor will it be the counterparty to your trades.

- e) The FSP has a suitable Professional Indemnity in place in accordance with the FAIS Act.
- f) Waiver of rights: Users are hereby advised that no representatives of the provider or any other person may ask you, nor in any way induce you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act.

## 5. Financial Services and Products

As an Authorised Financial Services Provider, the FSP has a Category I licence issued by the Financial Sector Conduct Authority (“FSCA”) in terms of FAIS, to provide intermediary services in respect of the following financial products:

*Table 1*

Category Description	Advice Automated	Advice Non-automated	Intermediary Scripted	Intermediary Other
CATEGORY I				
Long-Term Insurance subcategory A		X		X
Long-Term Insurance subcategory B1		X		X
Long-term insurance subcategory B2		X		X
Long-term Insurance subcategory B2-A		X		X
Long-term Insurance subcategory B1-A		X		X
Long-Term Insurance subcategory C		X		X
Retail Pension Benefits		X		X
Derivative instruments		X		X
Participatory interests in a collective investment scheme		X		X
Long-term Deposits		X		X
Short-term Deposits		X		X

## 6. Conflicts of Interest

In accordance with the FSP’s Conflicts of Interest policy, the FSP places high priority on its clients’ best interests. Conflicts of interests could undermine the integrity and professionalism of the FSP and its employees and any potential or recognized instance must be identified as early as possible. Potential conflicts of interest are inherent in any business and therefore, the aim of the FSP is to minimize and/or prevent, if possible, such risk. If conflicts of interest situations cannot be avoided, the FSP will manage these conflicts equitably and in the client’s best interest as an integral part of the FSP’s ethos and culture. The FSP maintains an active Conflicts of Interest policy which is available on its website, free of charge.

## 7. Client Understanding and Confirmation

By signing up as a client, you confirm that you have read, understand and accept the content of this document.